


3c923 U.S. PTO  
04/18/01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	0913.0006C
	First Inventor	Jacqueline A. Haynes
	Title	Automated, Computer-Based Reading Tutoring
	Express Mail Label No.	

<b>APPLICATION ELEMENTS</b>  See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patent Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal I (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 44 ] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 7 ]</p> <p>5. Oath or Declaration [ Total Pages 3 ]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)</p> <p>b. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Other:	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>18. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label  (Insert Customer Number or Bar Code Label here) or <input type="checkbox"/> Correspondence address below					
Name		27896			
Address		EPSTEIN, EDELL, SHAPIRO, PINNAN & LYLE, LLC			
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Name (Print/Type)	Robert H. Epstein	Registration No. (Attorney/Agent)	24,353
Signature		Date	4/18/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**X PATENT APPLICATIONS**

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**BOX: PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**Atty Docket No.: 0913.0006C**

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Jacqueline A. Haynes, Daniel S. Fowler and Shannon L. Beltz

For: Automated, Computer-Based Reading Tutoring Systems and Methods

Enclosed are:

Utility Patent Application Transmittal (PTO/SB/05);  
44 Pages of Specification (Letter Size);  
7 Sheets of Drawings;  
Declaration and Power of Attorney;  
Check No. 4735 in the amount of \$611.00;  
Recordation Form Cover Sheet;  
Assignment;  
Check No. 4736 in the amount of \$40.00; and  
Return Receipt Postcard.

The fee has been calculated as shown below:

TOTAL CLAIMS:	<u>44</u>	-	20	=	24	SMALL ENTITY FEE:	\$355.00
INDEPENDENT CLAIMS:	<u>4</u>	-	3	=	1	Extra x \$9 =	\$216.00
						Extra x \$40 =	<u>\$ 40.00</u>
						TOTAL FEE DUE:	\$611.00

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment in the subject patent application to Deposit Account No. 05-0460.

All correspondence regarding this application should be directed to EPSTEIN, EDELL, SHAPIRO, FINNAN & LYTLE, LLC at the above address.

Respectfully submitted,



Robert H. Epstein  
Registration No. 24,353

Hand-Delivered: 4/18/01